

10/534860

1 JC14 Rec'd PCT/PTO 13 MAY 2005

Aqueous, colloidal gas black suspension

The invention relates to an aqueous, colloidal gas black suspension, to a process for its production and to its use.

- 5 It is known to use aqueous, colloidal carbon black suspensions in the production of surface coatings, coloured printing inks and also directly as inks, for example in ink-jet printers (US-A 5,085,698, US-A 5,320,668).

- 10 It is also known to produce carbon black suspensions using dyes which at the same time act as wetting agents, without the addition of further wetting agents (US 9,911,935).

- 15 It is further known to produce aqueous carbon black suspensions using water-soluble wetting agents, for example acrylic resins (US-A 5,609,671) or ethoxylates (DE 19824947 A1).

- 20 The known carbon black suspensions stabilised with wetting agents have the disadvantages that, when non-ionic surfactants are used, the zeta potential is too high and the surface tension is low, and when anionic surfactants are used, the paper is wetted too greatly owing to the strong interactions with the paper coating, which is likewise anionic, leading to low optical densities.

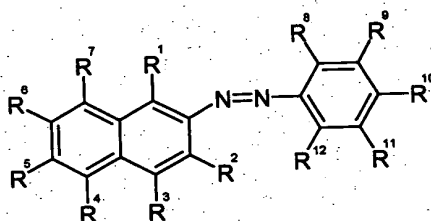
- 25 Disadvantages of the known carbon black suspensions stabilised with dyes are their inadequate stability to storage and to freezing. When the dispersions have relatively long shelf lives or are stored at temperatures greater than 50°C or less than 0°C, this leads to a pronounced and irreversible increase in the viscosity, to re-agglomeration of the suspended pigment particles or to
30 complete flocculation of the suspension. Furthermore, when furnace blacks are used, a relatively low optical density

Best Available Copy

is to be observed, which likewise constitutes a considerable disadvantage in terms of use.

The object of the present invention is to provide an aqueous gas black suspension which has high optical densities on carrier materials, such as, for example, paper, a low zeta potential and a high surface tension.

The invention provides an aqueous, colloidal gas black suspension which is characterised in that it contains a gas black,
an azo compound of the general formula 1



(1)

wherein R¹ to R¹² may be the same or different and consist of hydrogen, hydrophilic or hydrophobic groups, acceptor or donor substituents or parts of aliphatic, aromatic or heteroaromatic, acyclic, cyclic or polycyclic systems having acceptor, donor, hydrophilic or hydrophobic groups, and water.

Colloidal means the uniform distribution of particles having a diameter of from 10 nm to 10 μ m in a dispersing agent.

For use in inks, a low viscosity is advantageous, depending on the printing process, in order to obtain the desired printing properties, for example sharpness of print. A low zeta potential, which describes the state of charge of the particles in the carbon black suspension, is a measure of

the good stability of the suspension. A high surface tension has a positive effect, for example, on droplet formation in the ink-jet process. A high degree of dispersion is of fundamental importance for good storage stability, for good coloristic properties in use and for preventing blockage of the nozzles, particularly in the ink-jet process.

The gas black can have a content of volatile constituents (950°C) of < 21 wt.%, preferably < 6 wt.%. The gas black can have a BET surface area of from 80 to 350 m²/g. The gas black can have a primary particle size of from 8 to 40 nm, preferably from 13 to 30 nm, particularly preferably from 13 to 20 nm. The gas black can have a DBP number of from 40 to 200 ml/100 g.

The gas black can also be a mixture of different gas blacks.

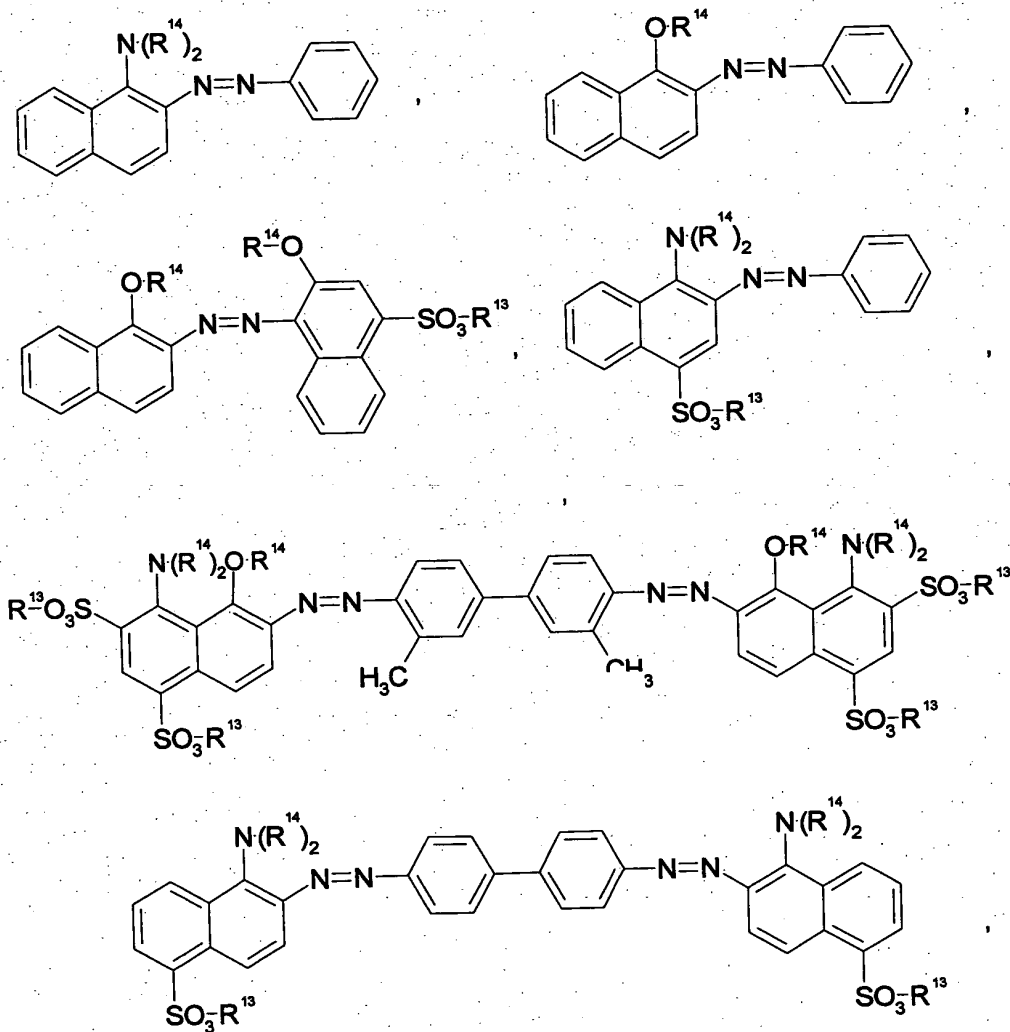
There may be used as gas blacks, for example, Farbruß FW 200, Farbruß FW 2, Farbruß FW 2 V, Farbruß FW 1, Farbruß FW 18, Farbruß S 170, Farbruß S 160, Spezialschwarz 6, Spezialschwarz 5, Spezialschwarz 4, Spezialschwarz 4A, NIPex 150, NIPex 160 IQ, NIPex 170 IQ, NIPex 180 IQ, Printex U, Printex V, Printex 140 U or Printex 140 V from Degussa AG.

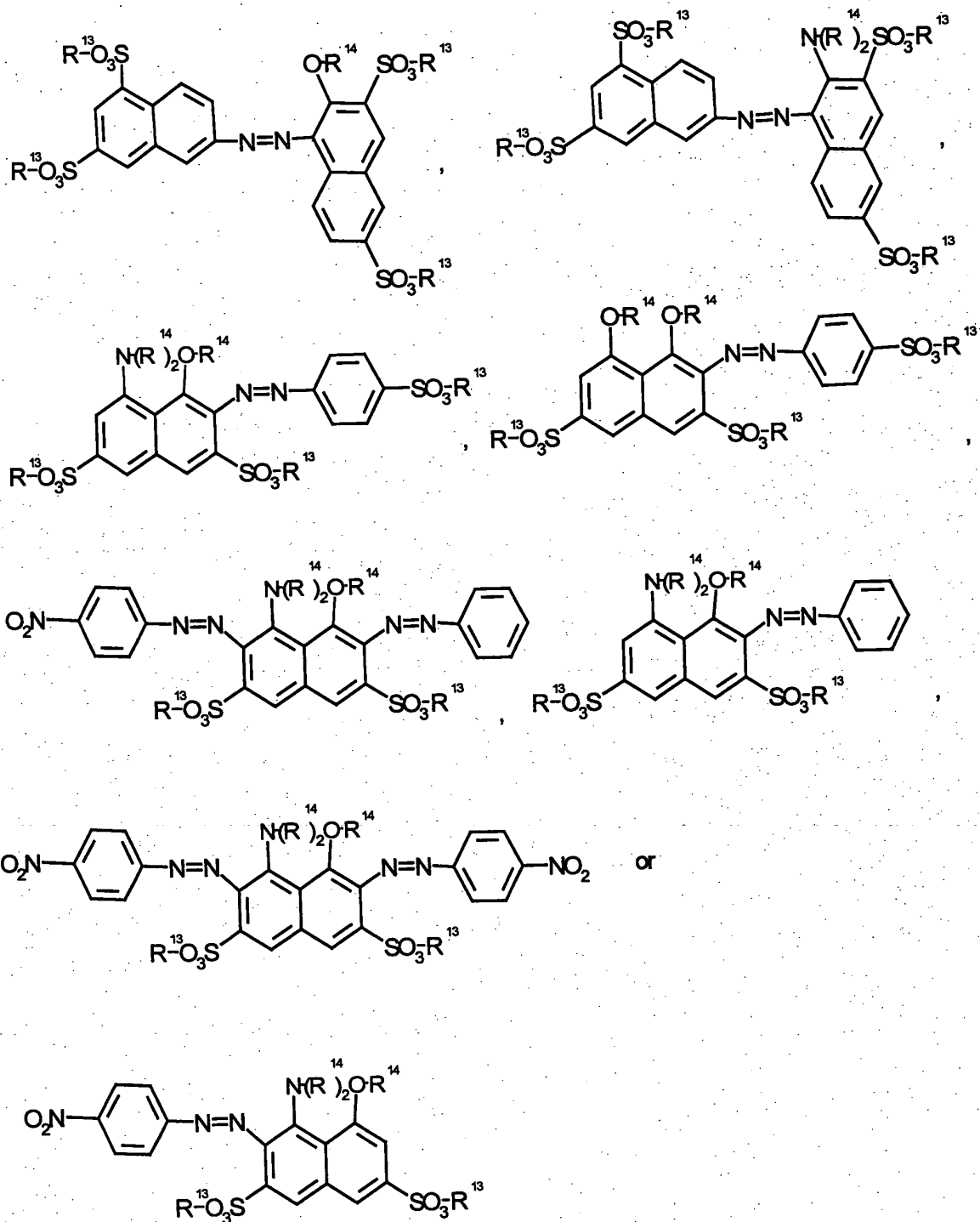
The gas black content in the aqueous, colloidal carbon black suspension according to the invention can be less than 30 wt.%, preferably less than 20 wt.%.

The azo compound of the general formula 1 can contain the general formula 1 one or more times in the azo compound. The substituents R¹ to R¹² can be unsubstituted or substituted, aliphatic or aromatic substituents, such as phenyl, naphthyl or heteroaromatic substituents, such as, for example, pyrrolyl, pyridinyl, furyl or puryl, acceptor substituents, such as -COOR¹³, -CO-R¹³, -CN, -SO₂R¹³ or

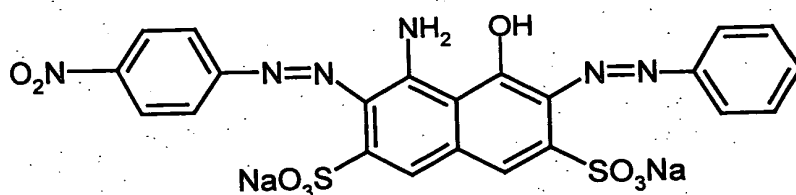
-SO₂OR¹³, wherein R¹³ = H, alkali metal cation, ammonium, alkyl, aryl or functionalised alkyl or aryl, such as, for example, ω-carboxyalkyl, HSO₃-C_xH_y-, H₂N-C_xH_y-, H₂N-SO₂-C_xH_y- (x = 1-20; y = 1-45), donor substituents, such as alkyl, aryl groups, OR¹⁴, N(R¹⁴)₂, SR¹⁴ or P(R¹⁴)₂, wherein R¹⁴ = H, alkyl, aryl or functionalised alkyl or aryl, oligomers or polymers of the form -(O-R¹⁴)_y-OR¹⁵, wherein R¹⁵ = H, alkyl or aryl.

As the azo compound of the general formula 1 there may be used, for example,

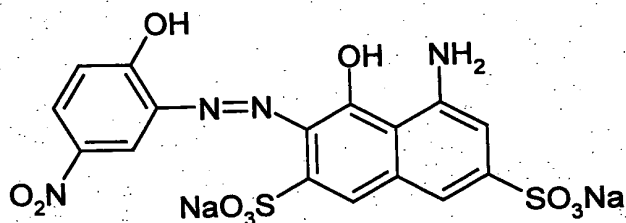




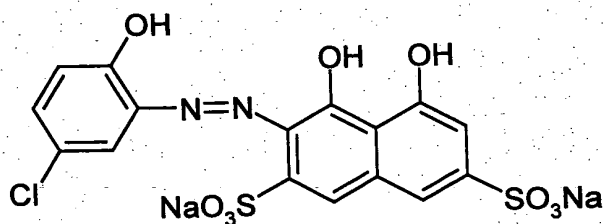
As the azo compound of the general formula 1 there may be used, for example, Acid Black 1 (C.I. 20470)



Mordant Green 17 (C.I. 17225) or



Mordant Blue 13 (C.I. 16680).



The amount of azo compound of the general formula 1 in the aqueous, colloidal carbon black suspension according to the invention can be less than 5 wt.%, preferably less than 3 wt.%.

The azo compound of the general formula 1 can contain less than 30 wt.%, preferably less than 20 wt.%, impurity.

The azo compound of the general formula 1 can contain less than 10 wt.%, preferably less than 5 wt.%, salt.

The azo compounds Acid Black 1, Mordant Green 17 or Mordant Blue 13 can contain less than 30 wt.% impurity and less than 10 wt.% salt.

The aqueous, colloidal gas black suspension according to the invention can contain biocides, wetting agents and/or additives.

5 The aqueous, colloidal gas black suspension according to the invention can contain a biocide. The biocide can be added in amounts of from 0.01 to 1.0 wt.%. There may be used as the biocide isothiazolinone derivatives, formaldehyde-cleaving agents or combination products of the two classes of product. For example, Parmetol from Schülke
10 & Mayr, Ebotec from Bode Chemie, Acticide from Thor Chemie or Proxel from Zeneca can be used as the biocide.

It is further possible to add wetting agents in amounts of from 0 to 1 wt.%, preferably from 0.4 to 0.6 wt.%, based on the total suspension. There can be used as wetting agents
15 classes of compounds such as fatty alcohol ethoxylates, polyacrylic acid or/and derivatives thereof, copolymers containing acrylic acid, acrylic acid derivatives, styrenes, styrene derivatives and/or polyethers, ligno-sulfonates, alkylbenzenesulfonates, naphthalenesulfonic
20 acid derivatives, copolymers containing maleic anhydride and/or maleic acid derivatives, or combinations of the mentioned wetting agents. The copolymers can be random or alternating block or graft copolymers. For example, Joncryl 678, Joncryl 680, Joncryl 682 or Joncryl 690 from Johnson
25 Polymer B.V. can be used as the dispersion-assisting additive.

In a preferred embodiment, it is possible to use as the dispersion-assisting additive forms of styrene-acrylic acid copolymers which have been completely neutralised with
30 ammonium or alkali hydroxide, especially with NaOH.

Other types of wetting agents are likewise suitable for the production of the carbon black suspension according to the invention.

It is also possible to add to the aqueous, colloidal gas black suspension according to the invention additives, such as alcohols, for example 1,5-pentanediol, glycols, such as dipropylene glycol, heterocyclic compounds, such as 2-pyrrolidone, or glycerol.

The amount of additives in the aqueous, colloidal gas black suspension according to the invention can be less than 25 wt.%, preferably less than 15 wt.%.

The invention also provides a process for the production of the aqueous, colloidal gas black suspension according to the invention, which process is characterised in that the gas black and the azo compound of the general formula 1 are dispersed in water.

The dispersion can be carried out using bead mills, ultrasonic devices, high-pressure homogenisers, microfluidizers, Ultra-Turrax or comparable apparatuses. Following the dispersion, the aqueous, colloidal gas black suspension can be purified by centrifugation and/or filtration.

The invention relates also to the use of the aqueous, colloidal gas black suspension according to the invention in inks, ink-jet inks, surface coatings and coloured printing inks.

This invention relates also to an ink which is characterised in that it contains the aqueous, colloidal gas black suspension according to the invention.

The advantages of the aqueous, colloidal gas black suspensions according to the invention are the high optical densities, low zeta potential, high surface tension, good storage stability and a high degree of dispersion.

Examples:

Production of the carbon black suspensions:

1. Preparation for the production of the suspensions:

5 All the constituents, except for the carbon black, are placed in a container and homogenised with stirring.

The carbon black is gradually incorporated into the solution with slow stirring (either by hand or using a slow stirring device).

2. Dispersion

10 The suspension prepared in point 1 is dispersed using an ultrasonic device. Very coarse particles can be separated from the resulting suspension in a centrifuge.

15 The compositions and properties of reference suspensions and of the aqueous, colloidal gas black suspension 1 according to the invention are shown in Table 1.

Table 1:

		Reference suspension	Reference suspension	Reference suspension	Aqueous, colloidal gas black suspension of the invention
		1	2	3	1
NIPex 160 IQ	%	---	---	---	15
NIPex 90	%	15	15	15	---
Acid Black 1	%	1.2	2	3	1.2
Acticide MBS	%	0.3	0.3	0.3	0.3
Deionised water	%	83.5	82.7	81.7	83.5
Consistency of the suspension		solid	solid	liquid	liquid
Degree of dispersion by optical microscopy		n.a.	n.a.	poor	very good
Storage stability 50°C over 28 days		n.a.	n.a.	sediment	very good
Optical density of an ink-jet ink 6 µm draw downs on Data Copy paper		n.a.	n.a.	n.a	1.5

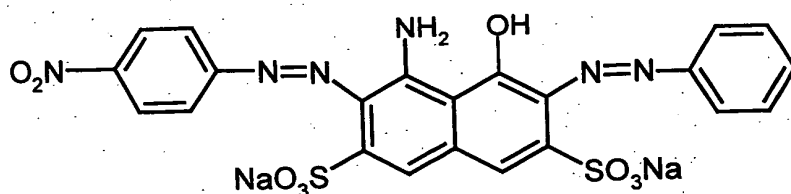
n.a. = not applicable

NIPex 90 is a highly structured furnace black from Degussa AG having a primary particle size of 14 nm.

5 NIPex 160 IQ is a gas black from Degussa having a primary particle size of 20 nm.

Acticide MBS is a biocide from Thor Chemie.

As Acid Black 1 there is used Napthol Blue Black having the formula



from Aldrich Chemical Company. The Acid Black 1 has a salt content of 1.5 wt.%.

Determination of the salt content

5 The salt content of the azo compound is the sum of sodium sulfate, measured by the sulfate concentration and converted to sodium sulfate, and sodium chloride, measured by the chloride concentration and converted to sodium chloride.

10 Determination of the sulfate concentration

Basis of the process

A low-capacity ion exchanger is used as the separating column.

15 The elution of the ions is effected using electrolytes. A conductivity detector is used for the detection.

Chemicals

High-purity water (conductivity < 0.1 $\mu\text{S}/\text{cm}$)

Sulfate stock solution $w(\text{SO}_4) = 1000 \text{ mg/l}$

20 Eluant $\text{Na}_2\text{CO}_3/\text{NaHCO}_3$ (2.2/2.8 mmol./l)

Equipment

Tumbler

Ion chromatograph with conductivity detector

25 Low-capacity ion exchanger

Principle

The samples are eluted in the tumbler for 1 hour at room temperature using high-purity water, and sulfate is
5 determined in the eluate by ion chromatography.

Implementation

About 200 mg of sample are weighed into a closable test tube and eluted in the tumbler for 1 hour at room
10 temperature using high-purity water.

The suspension is then transferred to a measuring flask and filled to the mark with high-purity water. The measuring flask should be so chosen that the test solution contains from 0.5 to 40 mg/l SO_4^{2-} .

15 The test solution is injected into the ion chromatograph by way of a 0.2 μm injection filter. The sulfate concentration is calculated using the measured peak area.

Calculation

$$20 \quad w(\text{SO}_4^{2-}) [\%] = \frac{\beta \cdot V \cdot 100}{m}$$

β = concentration of the test solution in mg/l

V = volume of the test solution in l

m = weighed amount in mg

25 100 = conversion factor in %

$$w(\text{Na}_2\text{SO}_4) [\%] = \frac{w(\text{SO}_4^{2-}) \cdot \text{Mol}(\text{Na}_2\text{SO}_4)}{\text{Mol}(\text{SO}_4^{2-})}$$

Mol(SO_4^{2-}) molecular weight SO_4^{2-}
Mol(Na_2SO_4) molecular weight Na_2SO_4

5

Determination of the chloride concentration

Basis of the process

In order to determine the chloride content, the sample is suspended in high-purity water.

- 10 The chloride concentration is determined by means of argentometric titration.

Chemicals

High-purity water (conductivity < 0.1 $\mu\text{S}/\text{cm}$)

- 15 Chloride stock solution, $c(\text{Cl}^-) = 0.1000 \pm 0.0005 \text{ mol./l}$
Silver nitrate solution, $c(\text{AgNO}_3) = 0.1 \text{ mol. mol./l}$ or
0.01 mol./l
Nitric acid $w(\text{HNO}_3) = 30 \%$

20 Equipment

Titroprocessor 670 (Metrohm)
Silver rod electrode
Reference electrode (Hg/HgSO_4)

Principle

After production of the suspension, the suspension is acidified and titrated with silver nitrate.

5 Implementation

About 1 to 3 g of the sample are weighed into a glass beaker with an accuracy of 1 mg.

After addition of about 80 ml of high-purity water, stirring is carried out, the material adhering to the wall
10 of the beaker above the level of the liquid being rinsed off with a little water.

After 5 minutes, the sample is acidified with nitric acid, the electrodes and the tip of the burette are immersed in the suspension and titration is carried out
15 potentiometrically.

Calculation

The amount by weight w of chloride is calculated as follows:

20

$$w(\text{Cl}^-)\% = \frac{V_{\text{Ag}} \times c \times M \times 100 \times t}{m}$$

V_{Ag} volume of the silver nitrate solution, in ml, consumed in the titration

25 c molarity of the silver nitrate solution [mol./l]

M atomic weight of chlorine

t titer of the silver nitrate solution

$$w(\text{NaCl}) [\%] = \frac{w(\text{Cl}^-) \cdot \text{Mol}(\text{NaCl})}{M}$$

Mol(NaCl) molecular weight of NaCl

5

The aqueous, colloidal gas black suspension 1 according to the invention is of low viscosity and exhibits a high optical density, good storage stability and a high degree of dispersion. Using the three reference suspensions
10 produced from furnace black, a flowable suspension could only be obtained with reference suspension 3, only by substantially increasing the amount of Acid Black 1.

Figure 1 shows optical microscope images of the reference suspension 3 and of the aqueous, colloidal gas black
15 suspension 1 according to the invention. The reference suspension 3 has a high content of coarse particles, or is partially flocculated, and accordingly does not fulfil the requirements made of an ink-jet ink. The gas black
suspension 1 according to the invention, on the other hand,
20 exhibits no appreciable content of coarse particles.

Table 2 shows reference suspensions with added anionic wetting agent (4) and non-ionic wetting agent (5) in comparison with the aqueous, colloidal gas black suspension
25 1 according to the invention.

Table 2:				
		Reference suspension	Reference suspension	Aqueous, colloidal gas black suspension of the invention
		4	5	1
NIPex 160 IQ	%	15	15	15
Disponil FES 32 IS	%	6	---	---
Hydropalat 3065	%	---	5	---
Acid Black 1	%	---	---	1.2
AMP 90	%	0.2	0.2	---
Acticide MBS	%	0.3	0.3	0.3
deion. water	%	78.5	79.5	83.5
Zeta potential	mV	-15	-4	-35
Surface tension	mN/m	38	44	71
Optical density (6 μ m draw downs on Data Copy paper)		1.21	0.9	1.5

Disponil FES 32 IS is an anionic wetting agent (fatty alcohol polyglycol ether sulfate) from Cognis.

- 5 Hydropalat 3065 is a non-ionic wetting agent (mixture of ethoxylated linear fatty alcohols) from Cognis.

AMP 90 is 2-amino-2-methyl-1-propanol from Angus Chemie.

- 10 In comparison with the aqueous, colloidal gas black suspension 1 according to the invention, the reference suspensions 4 and 5 stabilised with wetting agent exhibit too high a zeta potential and a low surface tension when non-ionic surfactants (5) are used, while excessive wetting of the paper and hence too low an optical density are observed when anionic surfactants (4) are used, owing to

the strong interactions with the paper coatings, which are likewise anionic (Table 2).

Table 3 shows the formulations and properties of two aqueous, colloidal gas black suspensions 2 and 3 according to the invention:

Table 3:

		Aqueous, colloidal gas black suspension 2 of the invention	Aqueous, colloidal gas black suspension 3 of the invention
NIPex 160 IQ	%	15	15
Acid Black 1	%	1.5	1.5
IDIS @ solv.pd	%	12	---
Acticide MBS	%	0.3	0.3
dist. water	%	71.2	83.2
Optical microscope		1	1
Stable to freezing		yes	no
pH		7.7	7.5
Mean particle size	nm	< 100	< 100
Viscosity RT	mPas	4.6	3.7
Surface tension	mN/m	68	74
Zeta potential	mV	- 35	n.d.
Storage stability 50°C/7 days	mPas	16*	< 10*
Storage stability 50°C/14 days	mPas	28*	13*
Storage stability 50°C/28 days	mPas	40*	22*

* = no sediment, no re-agglomeration

IDIS @ solv.pd is 1,3-propanediol from Degussa AG.

Study of the degree of dispersion by optical microscopy:

The degree of dispersion of the carbon black suspension samples is assessed at 400 x magnification. Using the scale on the microscope, coarse particles $> 1 \mu\text{m}$ can readily be
5 detected at that setting.

Determination of the viscosity:

The rheological behaviour is determined in a rotation test with controlled shear rate (CSR) using a Physica Rheometer UDS 200. The viscosity value is read off at a shear rate of
10 1000 s^{-1} .

Determination of the mean particle size:

The particle size distribution is determined using a photon correlation spectrometer (PCS), Horiba LB-500 type, and read off as the mean particle size of the indicated "median
15 value". The measurement is carried out on an undiluted suspension sample.

Determination of the surface tension:

The dynamic surface tension is determined using a BP2 bubble tensiometer from Krüss. The end value is read off at
20 3000 ms.

Test of storage stability at 50°C over 28 days:

The samples are stored at 50°C in a drying cabinet for 28 days. The viscosity and tendency to sedimentation are studied.
25 300 ml of each suspension sample are stored for 28 days at 50°C in a drying cabinet in a closed glass bottle. The formation of sediment at the bottom is checked with a spatula and the viscosity is measured using a Brookfield DV II plus viscometer. In addition, the formation of
30 sediment in some samples when stored at room temperature is studied.

Test of stability to freezing:

The samples are frozen at -25°C and, after thawing, the

degree of dispersion is checked using an optical microscope.

A sample is evaluated as stable to freezing if, after thawing, the frozen sample has a highly liquid consistency again, no sediment forms and no re-agglomeration is visible under the optical microscope.

Determination of the pH:

The pH is determined on the undiluted suspension using a CG 837 pH meter from Schott. For that purpose, the glass electrode is immersed in the solution and the temperature-corrected pH is read off after five minutes.

Determination of the zeta potential:

The zeta potential is determined using a MBS-8000 from Matec. The samples are measured in the undiluted state. The zeta potential is determined by means of the electrokinetic sound amplitude (ESA).

Optical microscope:

The degree of dispersion of the suspension samples is assessed at 400 x magnification. Using the scale on the optical microscope, coarse particles $> 1 \mu\text{m}$ can readily be detected at that setting.

Scale of ratings:

Rating 1: very good; no coarse fractions $> 1 \mu\text{m}$,
Rating 2: satisfactory; very few coarse fractions $> 1 \mu\text{m}$,
Rating 3: poor; many coarse particles $> 1 \mu\text{m}$.

The aqueous, colloidal gas black suspensions 2 and 3 according to the invention fulfil all the requirements made of an optimum suspension.

30

Inks having a carbon black content of 4.5 % are prepared from the gas black suspensions according to the invention

using 2-pyrrolidone, 1,2-hexanediol, 1,3-propanediol, ethoxylated glycerol, dimethylaminoethanol and deionised water. For that purpose, the pre-mixture of ink additives is placed in a vessel, and the carbon black suspension is added carefully thereto, with stirring. The finished ink is filtered with a filter fineness of 500 nm. 6 μ m draw downs are then produced on copier paper (type: Kompass Copy Office) using a K Control Coater application device, and the optical density is determined after 24 hours using a densitometer.

Printing tests are carried out using a Canon Office printer BJC-S750 and a HP Office printer 970 Cxi. For that purpose, the ink is first deaerated *in vacuo* and introduced into a cleaned original printer cartridge.

- 15 The following printing tests are carried out:
- a. Single-sided printing on copier paper and on various commercially available ink-jet papers in order to determine the optical density and for visual assessment of the print quality.
 - 20 b. Refire tests after 1-, 3- and 7-day pauses in printing in order to assess the start of printing or drying behaviour of the ink.

The printing results are summarised in Table 4.

- 25 The inks according to the invention are distinguished by very good printability, high optical densities and very good storage stability.

Table 4:

Ink formulation number	1	2
Carbon black concentration [%]	4.5	4.5
Ink formulation [%] Aqueous, colloidal gas black suspension 2 of the invention	30.0	---
Aqueous, colloidal gas black suspension 3 of the invention	---	30.0
2-Pyrrolidone	12.0	12.0
Liponic EG-07 (ethoxylated glycerol)	3.0	1.5
IDIS@solv.pd (1,3-propanediol)	---	1.5
IDIS@solv.hd (1,2-hexanediol)	1.5	1.5
deion. water	remainder	remainder
Dimethylaminoethanol	0.02	0.02
Optical microscope	1	1
pH	8.9	8.9
Viscosity [mPas]	2.5	2.2
Surface tension [mN/m]	51	47
PRINTING TESTS		
Office printer used	HP Deskjet 970 Cxi	Canon BJC S750
Optical density (OD) on Kompass Copy Office	1.59	1.50
OD on Inkjet paper HP 51634 Z	1.60	1.57
OD on Inkjet paper CANON HR-101	1.68	1.65
OD on Inkjet paper EPSON 720 dpi	1.68	1.64
Overall visual impression printed image	1-2	1
Nozzle blockages	none	none
Drying on the print head	none	none
REFIRE TEST		
Start of printing after 60-min. pause in printing	+	+
Start of printing after 1-day pause in printing	+	+
Start of printing after 3-day pause in printing	+	+
Start of printing after 7-day pause in printing	+	+

Note:

1 = very good; 2 = satisfactory; 3 = poor

+ = no problems with the start of printing; - = problems

5 with the start of printing

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.